



Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

 Sheet **1** of **11**

Complete if Known

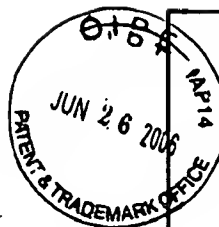
Application Number	09/997,269
Filing Date	November 27, 2001
First Named Inventor	Grainger, Jeffry J.
Art Unit	2165
Examiner Name	Abel Jalil, Neveen
Attorney Docket Number	021737-000720US

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
/PDI/	A1	US-5,031,214	07-09-1991	Dziewit et al.	
	A2	US-5,247,661	09-21-1993	Hager et al.	
	A3	US-5,276,869	01-04-1994	Forrest et al.	
	A4	US-5,317,683	05-31-1994	Hager et al.	
	A5	US-5,377,355	12-27-1994	Hager et al.	
	A6	US-5,418,908	05-23-1995	Keller et al.	
	A7	US-5,446,880	08-29-1995	Balgeman et al.	
	A8	US-5,485,605	01-16-1996	Johnson et al.	
	A9	US-5,553,289	09-03-1996	Johnson et al.	
	A10	US-5,619,555	04-08-1997	Fenton et al.	
	A11	US-5,628,004	05-06-1997	Gormley et al.	
	A12	US-5,649,117	07-15-1997	Landry	
	A13	US-5,659,676	08-19-1997	Redpath	
	A14	US-5,717,863	02-10-1998	Adamson et al.	
	A15	US-5,745,901	04-28-1998	Entner et al.	
	A16	US-5,758,126	05-26-1998	Daniels et al.	
	A17	US-5,764,898	06-19-1998	Tsuji et al.	
	A18	US-5,774,866	06-30-1998	Horwitz et al.	
	A19	US-5,778,398	07-07-1998	Nagashima et al.	
	A20	US-5,781,901	07-14-1998	Kuzma	
	A21	US-5,794,236	08-11-1998	Mehrle	
	A22	US-5,797,001	08-18-1998	Augenbraun et al.	
	A23	US-5,802,518	09-01-1998	Karaev et al.	
	A24	US-5,806,057	09-08-1998	Gormley et al.	
	A25	US-5,809,242	09-15-1998	Shaw et al.	
	A26	US-5,812,795	09-22-1998	Horovitz et al.	
	A27	US-5,819,271	10-06-1998	Mahoney et al.	
	A28	US-5,832,211	11-03-1998	Blakley, III et al.	
	A29	US-5,832,220	11-03-1998	Johnson et al.	
	A30	US-5,842,009	11-24-1998	Borovoy et al.	
	A31	US-5,857,181	01-05-1999	Augenbraun et al.	
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	A33	US-5,864,871	01-26-1999	Kitain et al.	
	A34	US-5,870,089	02-09-1999	Fabbio et al.	
	A35	US-5,874,953	02-23-1999	Webster et al.	

Examiner Signature	/Patrick Darno/	Date Considered	01/21/2009
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Sheet 2

of 11

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First Named Inventor	Grainger, Jeffry J.
Art Unit	2165
Examiner Name	Abel Jalil, Neveen
Attorney Docket Number	021737-000720US

U.S. PATENT DOCUMENTS

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IPD/	A36	US-5,878,230	03-02-1999	Weber et al.	
	A37	US-5,903,881	05-11-1999	Schrader et al.	
	A38	US-5,907,835	05-25-1999	Yokomizo et al.	
	A39	US-5,950,174	09-07-1999	Brendzel	
	A40	US-5,956,034	09-21-1999	Sachs et al.	
	A41	US-5,982,898	11-09-1999	Hsu et al.	
	A42	US-5,987,464	11-16-1999	Schneider	
	A43	US-6,006,242	12-21-1999	Poole et al.	
	A44	US-6,012,044	01-04-2000	Maggioncalda et al.	
	A45	US-6,014,135	01-11-2000	Fernandes	
	A46	US-6,014,502	01-11-2000	Moraes	
	A47	US-6,032,124	02-29-2000	Saito et al.	
	A48	US-6,040,920	03-21-2000	Ichiriki	
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	A50	US-6,047,315	04-04-2000	Tsuji et al.	
	A51	US-6,064,976	05-16-2000	Tolopka	
	A52	US-6,141,007	10-31-2000	Lebling et al.	
	A53	US-6,219,669 B1	04-17-2001	Haff et al.	
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	A69	US-6,442,549 B1	08-27-2002	Schneider	
	A70	US-2002-0161733 A1	10-31-2002	Grainger	

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/Patrick Darno/


Date
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01/21/2009

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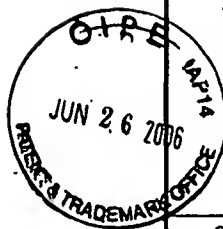
Substitute for form 1449A/PTO		Complete If Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Application Number	09/997,269
		Filing Date	November 27, 2001
		First Named Inventor	Grainger, Jeffry J.
		Art Unit	2165
		Examiner Name	Abel Jalil, Neveen
(Use as many sheets as necessary)		Attorney Docket Number	021737-000720US
Sheet	3	of	11

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/PD/ 	A71	US-6,484,149 B1	11-19-2002	Jammes et al.	
	A72	US-6,489,980 B1	12-03-2002	Scott et al.	
	A73	US-6,499,026 B1	12-24-2002	Rivette et al.	
	A74	US-6,584,466 B1	06-24-2003	Serbinis	
	A75	US-6,591,289 B1	07-08-2003	Britton, Larry W	
	A76	US-6,652,178 B2	11-25-2003	Walton	
	A77	US-6,694,315 B1	02-17-2004	Grow	
	A78	US 2004-0158587 A1	08-12-2004	Shay et al.	
	A79	US-2004-0181427 A1	09-16-2004	Stobbs et al.	
	A80	US-6,859,806 B1	02-22-2005	Kamarei et al.	
	A81	US-2005-0055306 A1	03-10-2005	Miller et al.	
	A82	US-6,877,137 B1	04-05-2005	Rivette et al.	
	A83	US-6,886,025 B1	04-26-2005	Britton, Larry W	
	A84	US-6,918,082 B1	07-12-2005	Gross et al.	

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Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)				
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↓	B3	WO	01/24035	A2	04-05-2001	Knowledge Management Objects, L.L.C.		<input type="checkbox"/>
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet **4** of **11**

Complete If Known

Application Number	09/997,269
Filing Date	November 27, 2001
First Named Inventor	Grainger, Jeffrey J.
Art Unit	2165
Examiner Name	Abel Jalil, Neveen
Attorney Docket Number	021737-000720US

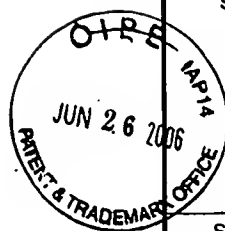
NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
/PD/	C1	Bambridge, John, "A Java Application Framework For Support Staff And epoline (MADRAS)," Draft v1.0, pp. 1-6, 4-30-2004	<input type="checkbox"/>
	C2	Chartrand, Sabra, "Patents, The Process Of Filing An Application Is Slowly Catching Up With The Technology Available," The New York Times, 3 pages, January 26, 1998	<input type="checkbox"/>
	C3	Computer Patent Annuities, "CPA Direct," http://www.cpajersey.com/cpajersey/welcome.htm , 18 pages, June 14, 2001	<input type="checkbox"/>
	C4	Computer Patent Annuities, "Memotech Interface Systeme: IP Management Software Services From CPA," http://www.cpajersey.com/cpajersey/mis1.htm , 1 page, June 14, 2001	<input type="checkbox"/>
	C5	Computer Patent Annuities, "Memotech Interface Systeme: IP Suite Features," http://www.cpajersey.com/cpajersey/mis2.htm , 3 pages, June 14, 2001	<input type="checkbox"/>
	C6	Computer Patent Annuities, "Memotech Interface Systeme: Technical Specifications," http://www.cpajersey.com/cpajersey/mis3.htm , 2 pages, June 14, 2001	<input type="checkbox"/>
	C7	Computer Patent Annuities, "Memotech IP Suite Features," http://www.cpajersey.com/cpajersey/mis2.htm , 2 pages, July 15, 2002	<input type="checkbox"/>
	C8	Computer Patent Annuities, "Renewal Services," http://www.cpajersey.com/cpajersey/patent1.html , 3 pages, July 15, 2002	<input type="checkbox"/>
	C9	Computer Patent Annuities, "Welcome to CPA," http://www.cpajersey.com/cpajersey/maincombo.htm , 1 page, downloaded November 9, 2001	<input type="checkbox"/>
	C10	CPI Computer Packages Inc., "CPI News," 4 pages, Spring 2001	<input type="checkbox"/>
	C11	CPI Computer Packages Inc., "CPI News," 4 pages, Fall 2001	<input type="checkbox"/>
	C12	CPI Computer Packages Inc., "Leadership For The Best Ideas In The World," http://www.computerpackages.com , 1 page, 2001	<input type="checkbox"/>

Examiner Signature	/Patrick Darno/	Date Considered	01/21/2009
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet **5** of **11****Complete if Known**

Application Number	09/997,269
Filing Date	November 27, 2001
First Named Inventor	Grainger, Jeffry J.
Art Unit	2165
Examiner Name	Abel Jalil, Neveen
Attorney Docket Number	021737-000720US

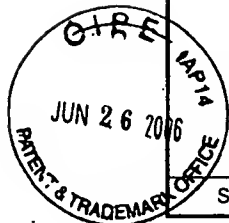
NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
/PD/	C13	CPI Computer Packages Inc., "Patent Annuity Payment Service," http://www.computerpackages.com/annuity.asp , 1 page, 2001	<input type="checkbox"/>
	C14	CPI Computer Packages Inc., "Patent Information Services," http://www.patentinfoserv.com/ , 1 page, downloaded November 9, 2001	<input type="checkbox"/>
	C15	CPI Computer Packages Inc., "Patent System," http://www.computerpackages.com/patent.asp , 2 pages, 2001	<input type="checkbox"/>
	C16	CPI Computer Packages Inc., "Products And Services," http://www.computerpackages.com/products.asp , 1 page, 2001	<input type="checkbox"/>
	C17	CPI Computer Packages Inc., "Trademark System," http://www.computerpackages.com/trademar.asp , 2 pages, 2001	<input type="checkbox"/>
	C18	CPI Computer Packages Inc., "What's New," http://www.computerpackages.com/whatsnew.asp , 2 pages, 2001	<input type="checkbox"/>
	C19	European Patent Office, "Technical Report On Patent Information Activities In 1999," 37 pages, no date	<input type="checkbox"/>
	C20	Executive Data Systems, "Legal Automation News," Issue 19.1, pp. 1-4, 1997	<input type="checkbox"/>
	C21	Executive Data Systems, "Legal Automation Newsletter," Vol. 20, Issue 1, pp. 1-6, 1999	<input type="checkbox"/>
	C22	Figura, S.Z. et al. "The Online Pathfinders", govexec.com, Dec 1, 2000, 11 pages	<input type="checkbox"/>
	C23	FirstUse.com, "Digital Audit System," http://www.firstuse.com , 1 page, 2000	<input type="checkbox"/>
	C24	FirstUse.com, "Digital Audit System, Press Kit, Fact Sheet," http://www.firstuse.com/press/presskit/index.htm , 2 pages, 2000	<input type="checkbox"/>

Examiner Signature	/Patrick Darno/	Date Considered	01/21/2009
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Sheet	6	of	11

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/PD/	C25	FirstUse.com, "Digital Audit System, Digital Audit Center, How-It-Works," http://www.firstuse.com/center/howitworks/index.htm , 3 pages, 2000	<input type="checkbox"/>
	C26	FirstUse.com, "Digital Audit System, Welcome To FirstUsa.com," http://www.firstuse.com/company/index.htm , 3 pages, 2000	<input type="checkbox"/>
	C27	Grainger, J.J. "Specification of U.S. Provisional Patent Application 60/253,360", 27 Nov, 2000, 59 pages	<input type="checkbox"/>
	C28	Grainger, J.J. and J.R. Shay "Specification of U.S. Provisional Patent Application 60/309,244", July 31, 2002, 19 pages	<input type="checkbox"/>
	C29	"Introduction to Coeus", Massachusetts Institute of Technology, Office of Sponsored Programs, October 2002, 32 pages	<input type="checkbox"/>
	C30	Intuit Inc., "E-Filing Completely Paperless With Quicken TurboTax; Now Even Easier To Get A Tax Refund Fast," http://www.intuit.com/company/press_releases/2001/01-12c.html , 2 pages, 2001	<input type="checkbox"/>
	C31	Intuit Inc., "Electronic Filing Software: Free With ProSeries/1040 And Individual States," http://www.proseries.com/efiling/index.shtml , 2 pages, 2001	<input type="checkbox"/>
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	C36	IRS, "Electronic Services - IRS E-File For Individual Taxpayers," http://www.irs.gov/plain/elect_svcs/efile-ind.html , 2 pages, October 11, 2001	<input type="checkbox"/>
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	C51	PerfectLaw Software, "DocPro," http://www.perfectlaw.com/docpro.htm , 7 pages, downloaded November 5, 2001	<input type="checkbox"/>
	C52	PerfectLaw Software, "Front Office Software," http://www.perfectlaw.com/frontoff.htm , 2 pages, May 18, 2000	<input type="checkbox"/>
	C53	PerfectLaw Software, "Scheduling And Time Management - Printing Daily/Weekly/Monthly Calendars," http://www.perfectlaw.com/printing.htm , 2 pages, downloaded November 5, 2001	<input type="checkbox"/>
	C54	PerfectLaw Software, "Scheduling And Time Management - Reminders And Alarms," http://www.perfectlaw.com/reminders.htm , 3 pages, downloaded November 5, 2001	<input type="checkbox"/>
	C55	Rice University "Rice University's Office of Technology Transfer Launches New On-Line Application from to Disclose New Inventions", Press Release, Jan 15, 2003, 2 pages	<input type="checkbox"/>
	C56	Shiang, R. "Software Reviews: GrantSlam 4.0.4", Biotec Software & Internet Report, Vol. 2, No. 3, 2001 pp 99-105	<input type="checkbox"/>
	C57	Tichy, Walter F., "Design, Implementation, and Evaluation Of A Revision Control System," IEEE, pp. 58-67, 1982	<input type="checkbox"/>
	C58	United States Patent and Trademark Office, eDAN, 4 pages, no date	<input type="checkbox"/>
	C59	United States Patent and Trademark Office, EAST, Figures 1-4, 4 pages, no date	<input type="checkbox"/>
	C60	United States Patent and Trademark Office, EAST, Figures 1-4 and Introduction, 6 pages, no date	<input type="checkbox"/>

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01/21/2009

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet

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of

11

Complete if Known

Application Number	09/997,269
Filing Date	November 27, 2001
First Named Inventor	Grainger, Jeffry J.
Art Unit	2165
Examiner Name	Abel Jalil, Neveen
Attorney Docket Number	021737-000720US

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
/PD/	C61	United States Patent and Trademark Office, "Electronic Filing System -Submission Manual ePAVE," http://www.uspto.gov/ , 157 pages, downloaded September 11, 2001	<input type="checkbox"/>
	C62	United States Patent and Trademark Office, "U.S. Patent Application Specification Authoring Guide For WordPerfect XML Template," pp. cover and 1-65, December 14, 1999	<input type="checkbox"/>
	C63	United States Patent and Trademark Office, WEST, Figures 1-2, 2 pages, no date	<input type="checkbox"/>
	C64	United States Patent and Trademark Office, WEST, Figures 1-2 and "West Past Deployment/Enhancement Announcements," 5 pages, no date	<input type="checkbox"/>
	C65	US Patent Application No. 09/733,616, Office Action dated March 29, 2004, 21 pages	<input type="checkbox"/>
	C66	US Patent Application No. 09/733,616, Final Office Action dated October 25, 2004, 19 pages	<input type="checkbox"/>
	C67	US Patent Application No. 09/733,616, Office Action dated May 23, 2005, 20 pages	<input type="checkbox"/>
	C68	US Patent Application No. 09/733,616, Final Office Action dated February 21, 2006, 37 pages	<input type="checkbox"/>
	C69	US Patent Application No. 09/872,764, Office Action dated November 29, 2005, 8 pages	<input type="checkbox"/>
	C70	US Patent Application No. 09/919,764, Office Action dated July 26, 2004, 21 pages	<input type="checkbox"/>
	C71	US Patent Application No. 09/919,764, Office Action dated May 20, 2005, 21 pages	<input type="checkbox"/>
	C72	US Patent Application No. 09/919,764, Office Action dated February 9, 2006, 22 pages	<input type="checkbox"/>

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Sheet 10 of 11

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/PD/	C73	US Patent Application No. 09/919,784, Office Action dated September 30, 2005, 23 pages	<input type="checkbox"/>
	C74	US Patent Application No. 09/919,787, Office Action dated September 22, 2005, 20 pages	<input type="checkbox"/>
	C75	US Patent Application No. 09/996,077, Office Action dated December 9, 2005, 13 pages	<input type="checkbox"/>
	C76	US Patent Application No. 09/996,338, Office Action dated January 3, 2003, 13 pages	<input type="checkbox"/>
	C77	US Patent Application No. 09/996,338, Final Office Action dated September 25, 2003, 16 pages	<input type="checkbox"/>
	C78	US Patent Application No. 09/996,338, Office Action dated January 21, 2004, 10 pages	<input type="checkbox"/>
	C79	US Patent Application No. 09/996,338, Final Office Action dated January 14, 2005, 20 pages	<input type="checkbox"/>
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	C81	US Patent Application No. 09/997,311, Office Action dated August 10, 2004, 21 pages	<input type="checkbox"/>
	C82	US Patent Application No. 09/997,311, Final Office Action dated March 1, 2005, 26 pages	<input type="checkbox"/>
↓	C83	US Provisional Patent Application No. 60/185,644, filed February 29, 2000. File History, 60 pages	<input type="checkbox"/>
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/PD/ ↓	C85	USPTO "e-TEAS Tutorial", downloaded from www.uspto.gov/teas/eTEASTutorial.htm , June 8, 2002, 4 pages	<input type="checkbox"/>
	C86	USPTO "Frequently Asked Questions about TEAS", downloaded from www.uspto.gov/teas/TEASFAQ.htm , Jun 2, 200, 10 pages	<input type="checkbox"/>
	C87	USPTO "Trademark Electronic Application System (TEAS)", downloaded from www.ipo.org/Template.cfm?Section=Home&Template=/contentManagement/ContentDisplay.cfm&ContentID=21416 , updated, but no later than September 2004, 21 pages	<input type="checkbox"/>
	C88	USPTO "USPTO to Mark 100,000th Electronic Trademark Application", press release, June 22, 2001, 1, page	<input type="checkbox"/>
	C89	www.adobe.com as archived by the WAYBACKMACHINE on January 25, 1999, http://web.archive.org/web/*http://www.adobe.com , 6 pages	<input type="checkbox"/>
	C90	www.legalstar.com as archived by the WAYBACKMACHINE on April 21, 1999, http://web.archive.org/web/*http://www.legalstar.com , 7 pages	<input type="checkbox"/>

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